

Analysis Methods for Hadron Colliders II

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Outline

- Lecture I:
 - Measuring a cross section
 - focus on acceptance
- Lecture II:
 - Searching for a new particle
 - focus on backgrounds
- Lecture III:
 - Measuring a property of a known particle

Search for New Particles: Experimentally

Number of observed
events: counted

Background:
Measured from data /
calculated from theory

$$\sigma_{\text{NP}} = \frac{N_{\text{obs}} - N_{\text{BG}}}{\int L dt \cdot \epsilon}$$

Cross section σ

Luminosity:
Determined by accelerator,
trigger prescale, ...

Efficiency:
optimized by
experimentalist

- Exactly like with measuring the cross section...

But we need to observe first!

- When we don't know if a particle exists our first question is: "Does it exist?"
- => significance of signal
 - I.e. how consistent is the number of observed events with the number of background events?
 - Background expectation: N_{BG}
 - Expect it to fluctuate statistically by $\delta N_{BG} \sim \sqrt{N_{BG}}$
 - Signal expectation: N_{Signal}
 - Statistical Significance: $N_{Signal}/\delta N_{BG} \sim N_{Signal} / \sqrt{N_{BG}}$
 - Often called S/\sqrt{B}

in Gaussian limit

	evidence	observation
significance	3σ	5σ
Probability of stat. fluctuation	0.3%	5.7×10^{-8}

In real life: systematic uncertainties also contribute to δN_{BG}

Search analyses

- Primary focus is background estimate
 - Determines whether or not an observation can be made
 - Cuts for background reduction studied often using benchmark New Physics scenario
 - Also model-independent analyses attempted sometimes
- Secondary focus is acceptance/efficiency determination: required only
 - when putting an upper limit on a cross section
 - when measuring the cross section of the observed new particle
 - Need to know what it is though
 - Or quote cross section for some effective cuts

Example Analyses

- SUSY:
 - Squarks/gluinos \rightarrow jets + \cancel{E}_T (+leptons)
- Higgs:
 - Higgs \rightarrow WW

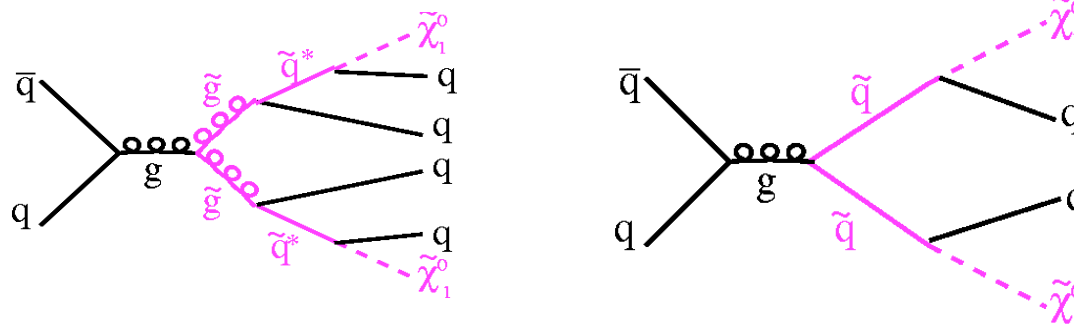
Backgrounds

- Ideally you get the backgrounds to be small
 - The smaller they are the less well you need to know them
- Estimates based on
 - Data only
 - E.g. lepton fake rates
 - Monte Carlo only
 - For well known electroweak processes
 - Monte Carlo / Data hybrid
 - For e.g. W/Z+jets or W/Z+b-jets

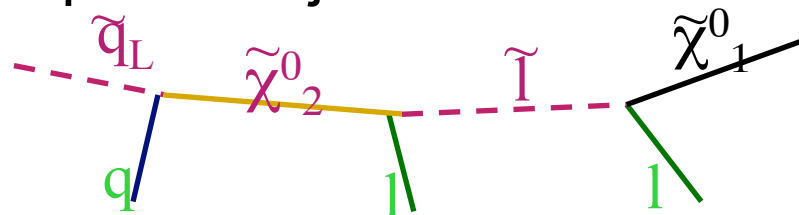
**Squarks/Gluinos →
Jets + MEt (+ leptons)**

SUSY at the LHC

- Cross section **much** higher than at Tevatron, e.g.
 - for $m(\tilde{g})=400$ GeV: $\sigma_{\text{LHC}}(\tilde{g}\tilde{g})/\sigma_{\text{Tevatron}}(\tilde{g}\tilde{g})\approx 20,000$
 - for $m(\tilde{q})=400$ GeV: $\sigma_{\text{LHC}}(\tilde{q}\tilde{q})/\sigma_{\text{Tevatron}}(\tilde{q}\tilde{q})\approx 1,000$
 - Since there are a lot more gluons at the LHC (lower x)

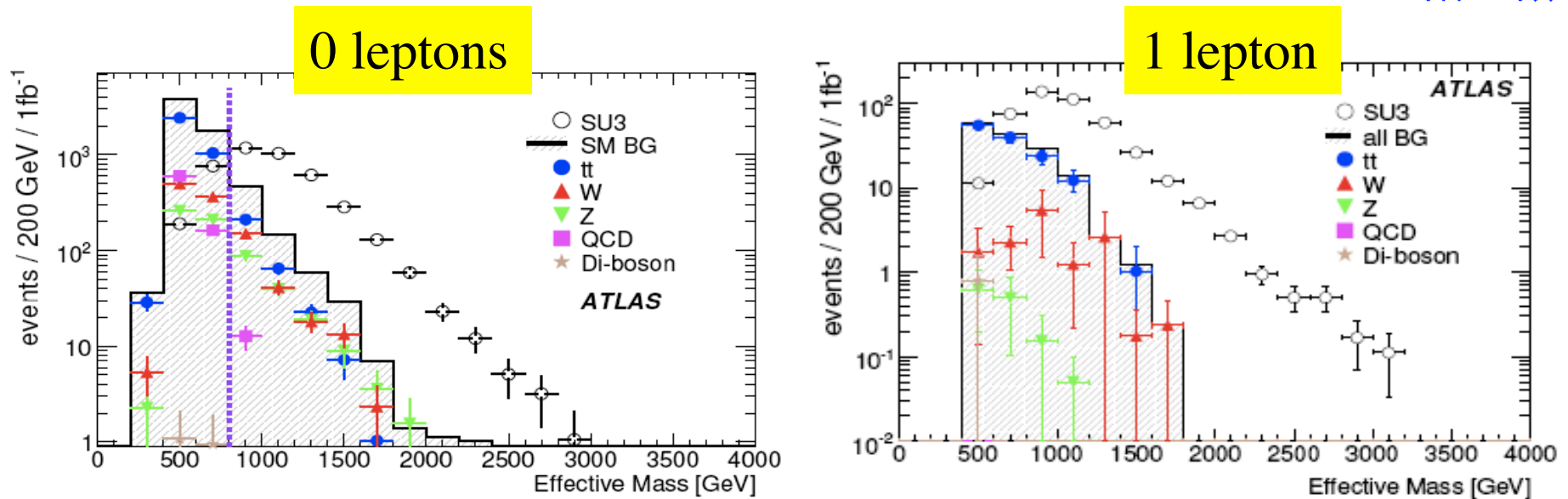
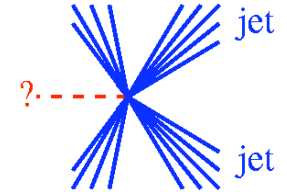


- At higher masses more phase space to decay in cascades
 - Results in additional leptons or jets



SUSY at the LHC

- Example: $m(\tilde{q}) \sim 600$ GeV, $m(\tilde{g}) \sim 700$ GeV
- Require 4 jets, large missing E_T and 0 or 1 lepton

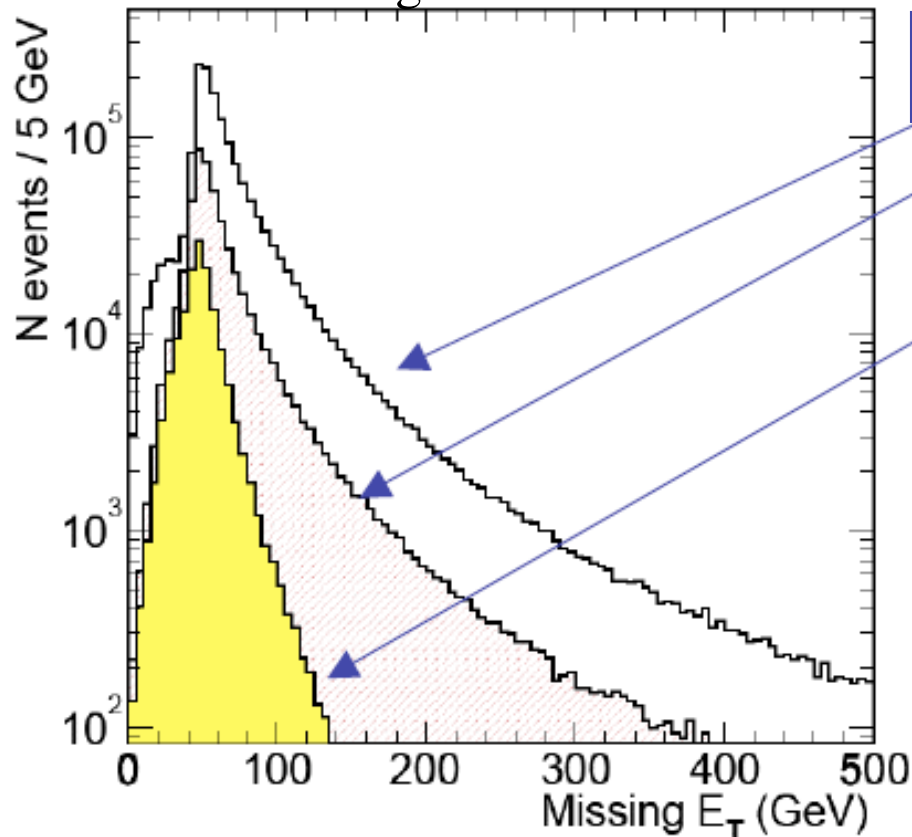


- “Effective Mass” = sum of p_T of all objects
- Similar and great (!) sensitivity in both modes
- Main backgrounds: top, W/Z+jets, QCD multi-jet

But how do we know the backgrounds!?!?

Instrumental Backgrounds

from Avi Yagil



After selection of "good runs"

After requirement of
vertex in tracker

After "clean-up" cuts:

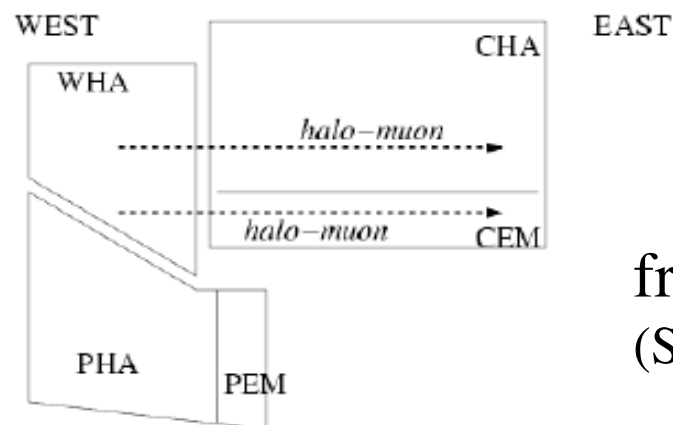
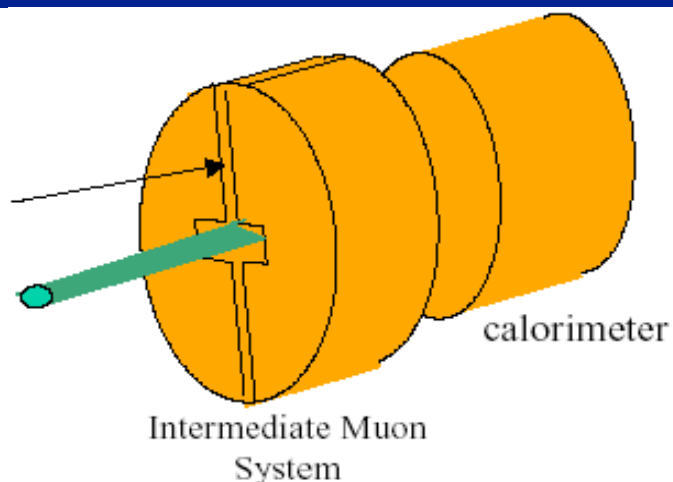
- event EM fraction > 0.1
- event charge fraction > 0.1
- ≥ 1 jet with $E_T > 10$ GeV
- $E_{\text{total}} < \sqrt{s}$

- Missing E_T distribution subject to many experimental effects
 - "If anything goes wrong it will affect missing E_T "

Sources of Instrumental Background

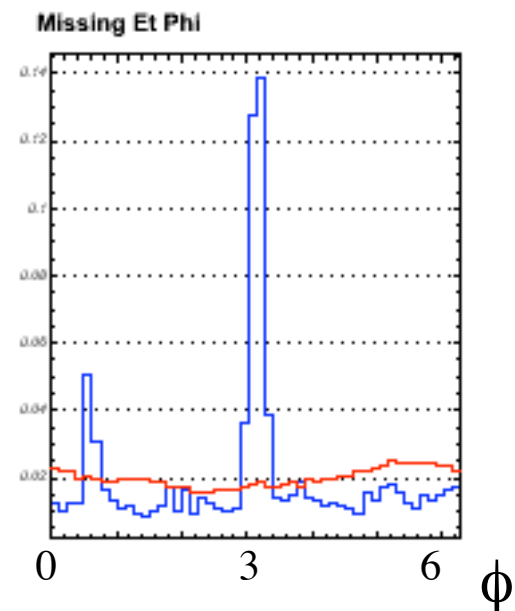
- Calorimeter Noise
 - Hot cells / coherent noise
 - Usually localized and can be rejected
- Calorimeter dead regions
 - Should only happen rarely in some runs
 - Should be removed by DQ criteria
- Cosmic rays and beam halo muons showering hard in calorimeter
 - Usually have no vertex but can overlap with MinBias event
 - Then have small tracking activity compared to calorimeter activity
 - Shower often only in hadronic calorimeter
- Example handles:
 - Track/calorimeter matches
 - Is direction of missing energy uniform?

Beam-Halo Muon Background

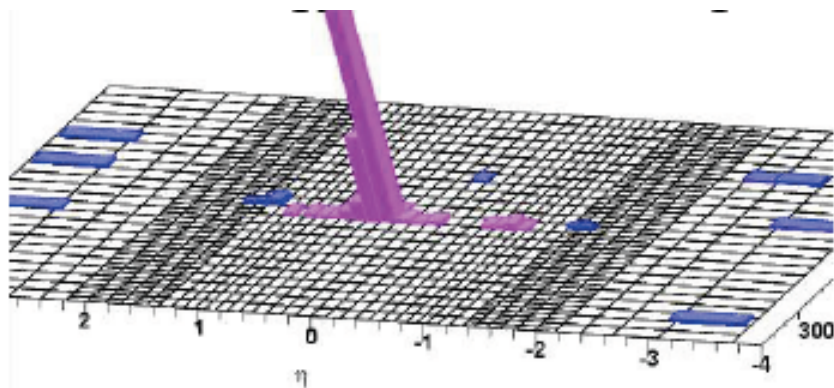
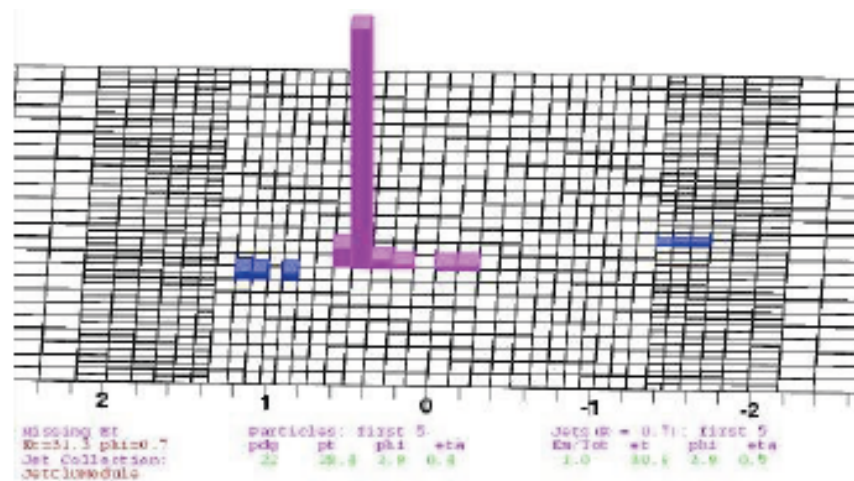
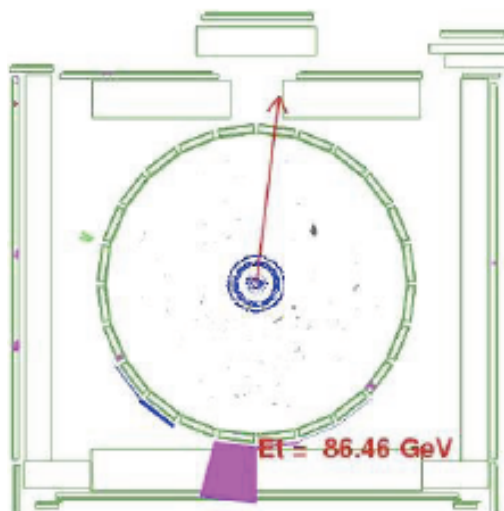


from CDF
(S.M.Wang)

- Muon that comes from beam and goes through shielding
- Can cause showers in calorimeters
 - Shower usually looks not very much like physics jet
 - Often spike at certain azimuthal angles: π
 - But there is lots of those muons!
 - Can even cause problem for trigger rate



Some Cosmics and Beam-halo events



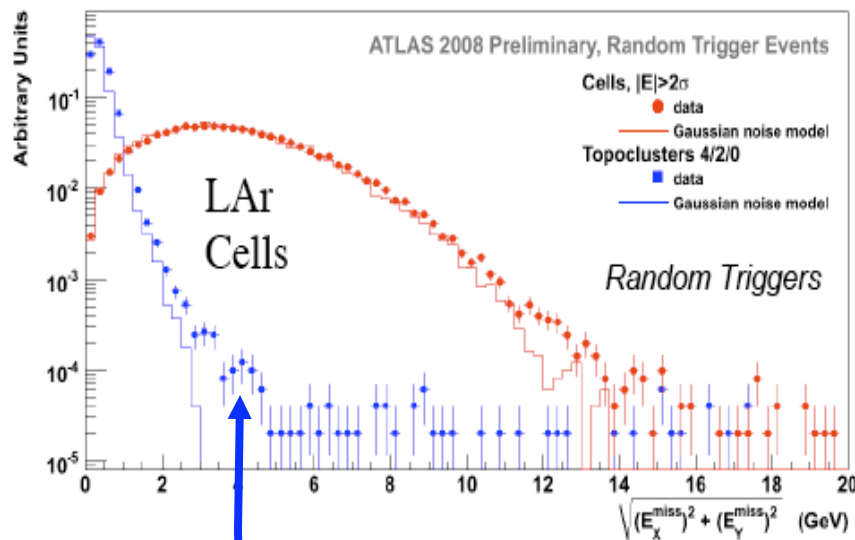
- Bigger problem for mono-jet than for multi-jet searches
- Can use
 - topological filters to reject events
 - Track matching calorimeter cluster

Instrumental Background: Studies with Cosmics

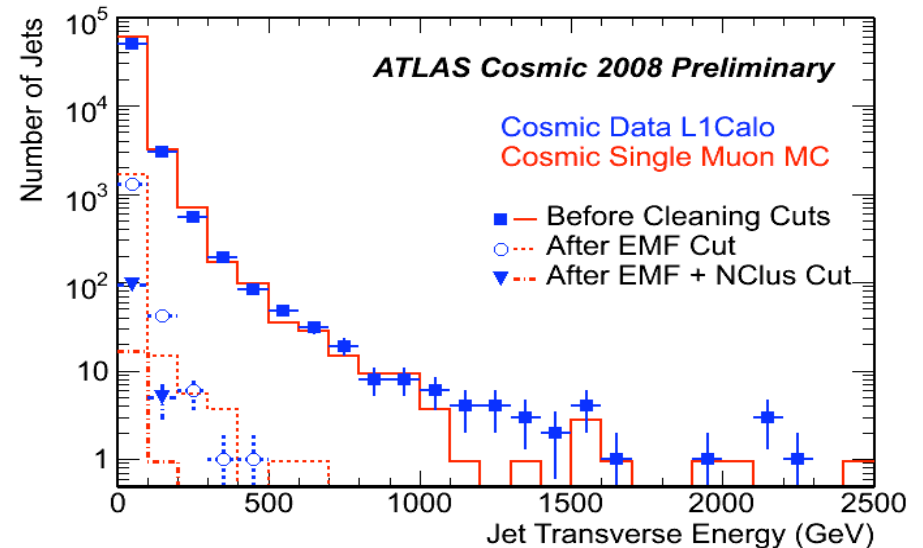
- Can learn a lot from cosmic ray data taking
 - ATLAS and CMS took cosmics for several weeks of running

2008 data: noise in random trigger

Developing cuts against cosmic
Ray background



Noise in presampler:
Fixed in 2009



Amazing how well these properties
are modeled by the cosmics simulation

Physics Backgrounds

- QCD multi-jet (mostly for 0-lepton case)
 - Missing E_T due to
 - Poor jet resolution / cracks in calorimeters
 - Neutrino momentum in semi-leptonic b/c- decays
- W/Z+jets
 - Missing E_T due to ν 's from $Z \rightarrow \nu\nu$, $W \rightarrow l\nu$
- Top
 - Missing E_T due to ν 's from $t\bar{t} \rightarrow WbWb \rightarrow l\nu + X$

How do we estimate them?

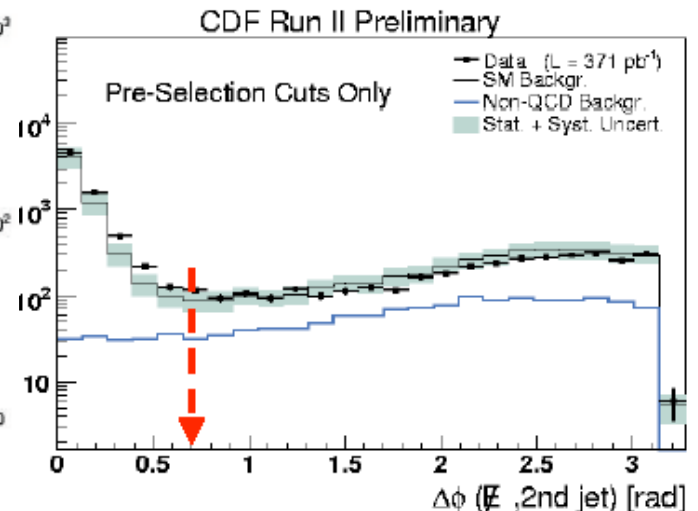
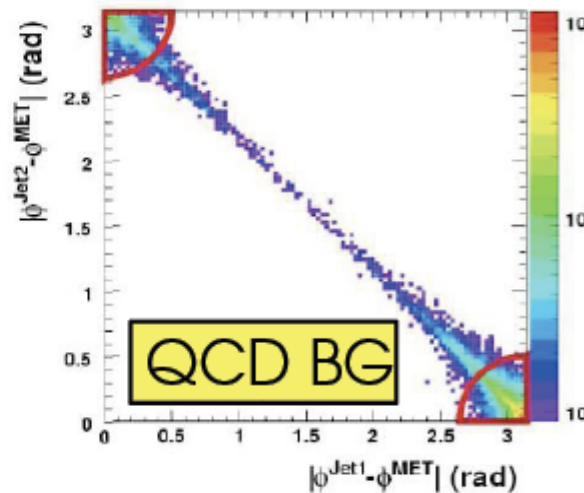
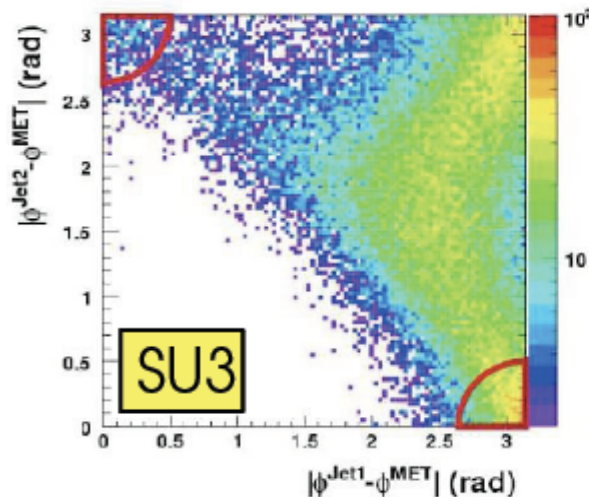
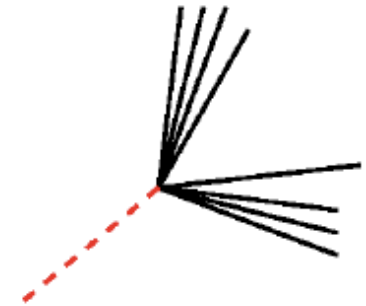
QCD Multi-jet

- Require large $\Delta\phi$
 - Between missing E_T and jets and between jets
 - Suppresses QCD dijet background due to jet mismeasurements

Background-like:
 $\Delta\phi(\text{jet}, E_T^{\text{miss}}) \sim 0$



Signal-like:
 $\Delta\phi(\text{jet}, E_T^{\text{miss}}) \gg 0$



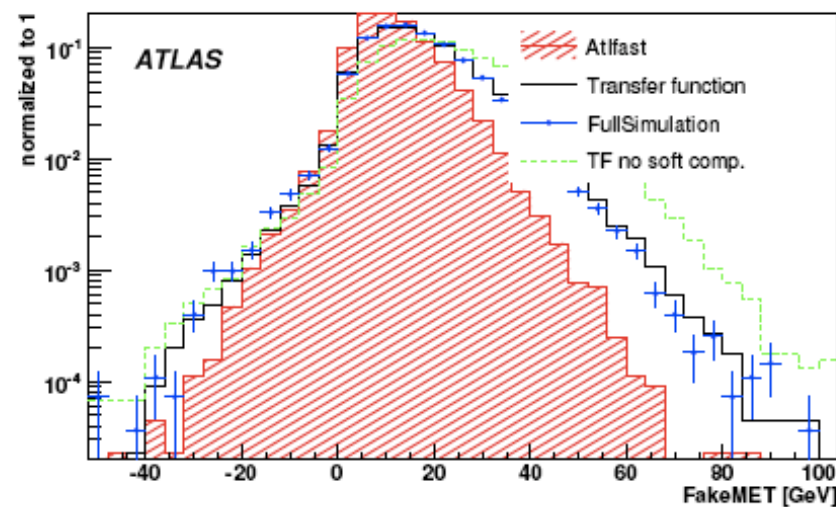
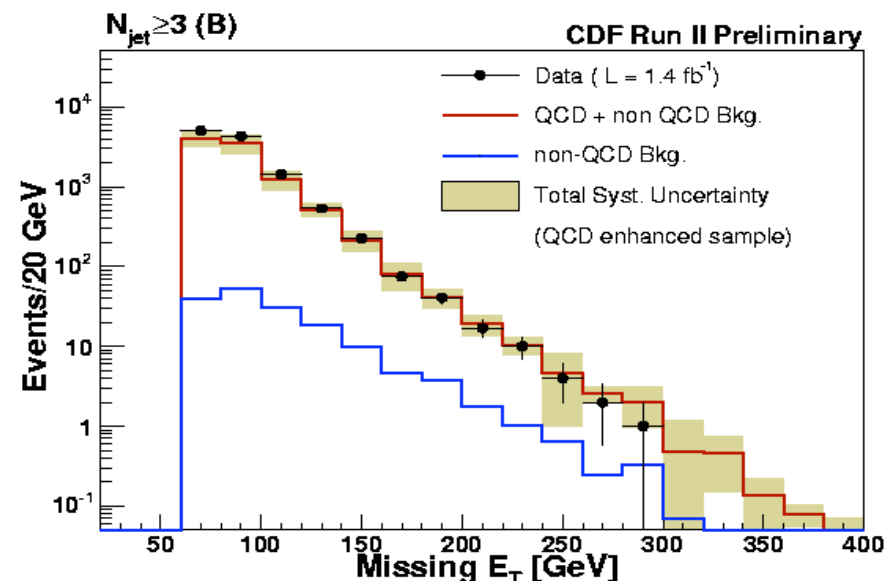
Methods to estimate remaining QCD multi-jet Background

1. CDF uses MC

- Validate in region of low $\Delta\Phi$ and low MET
- Extrapolate to large using MC
- Problem:
 - Relies on full MC simulation which can take “forever”

2. Parameterize truth jets with response function from full simulation

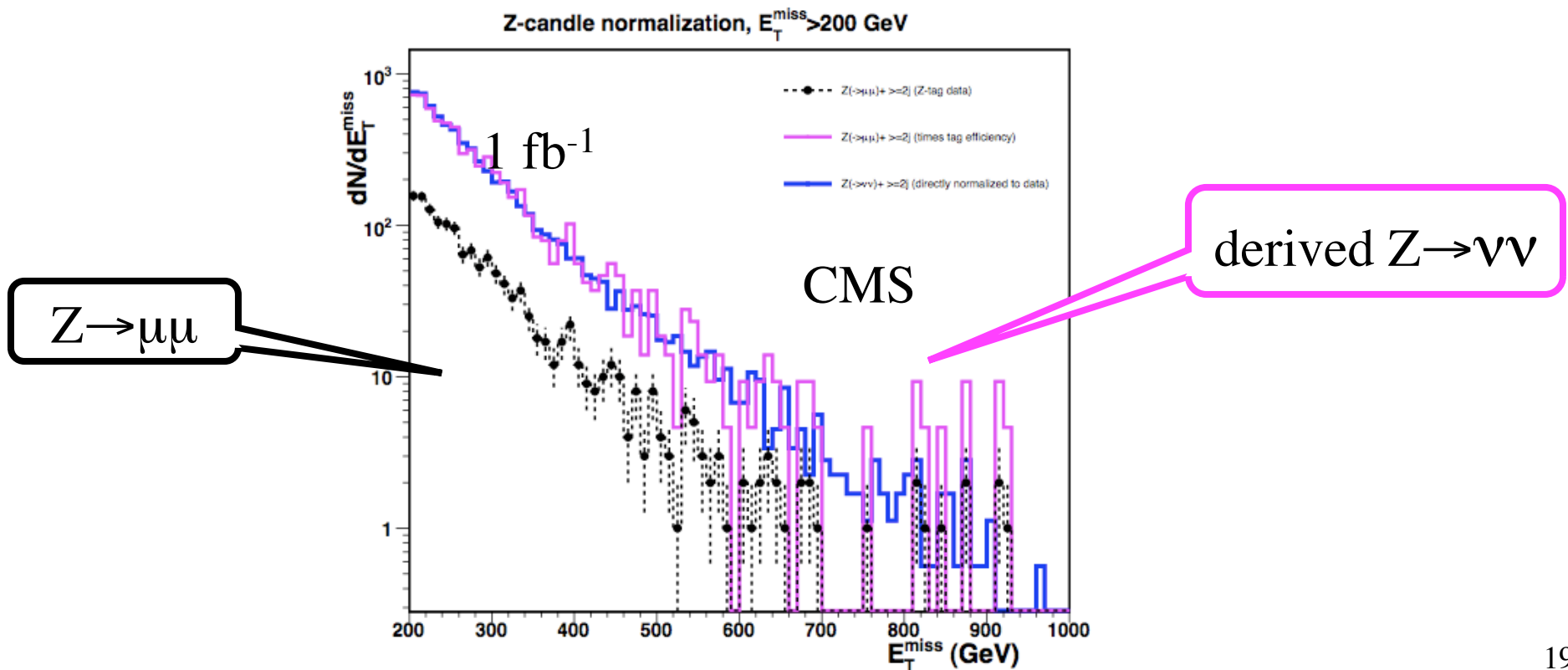
- Validate against full simulation
- Validate in region of lower MET
- Advantage:
 - Do not need to simulate as many events
- Need to make sure though that parameterization is really working



Using $Z(\rightarrow \ell\ell)$ +jets for estimating W/Z +jet background

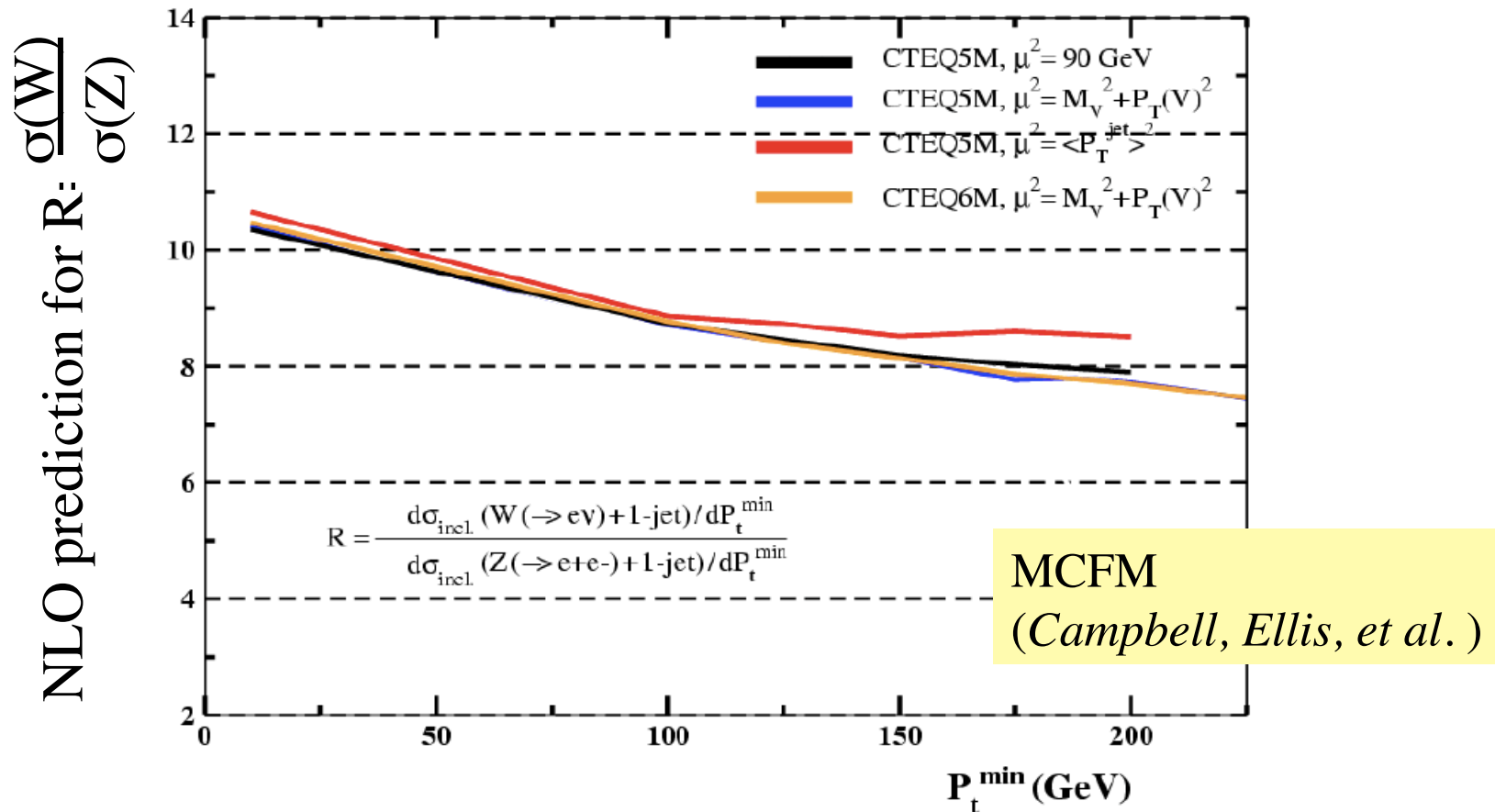
- Use $Z(\rightarrow \ell\ell)$ +jets to extrapolate to $Z(\rightarrow \nu\nu)$ +jets
 - $ME_T \sim p_T(Z)$

$$N_{Z \rightarrow \nu\bar{\nu}}(E_T^{\text{miss}}) = N_{Z \rightarrow \ell^+\ell^-}(p_T(\ell^+\ell^-)) \times c_{\text{Kin}}(p_T(Z)) \times c_{\text{Fidu}}(p_T(Z)) \times \frac{\text{Br}(Z \rightarrow \nu\bar{\nu})}{\text{Br}(Z \rightarrow \ell^+\ell^-)},$$



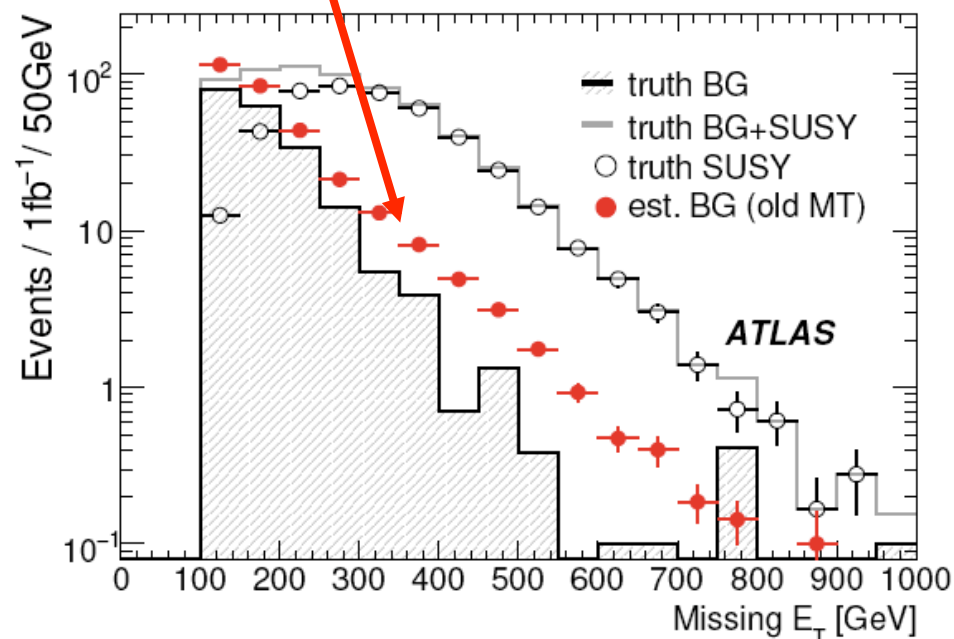
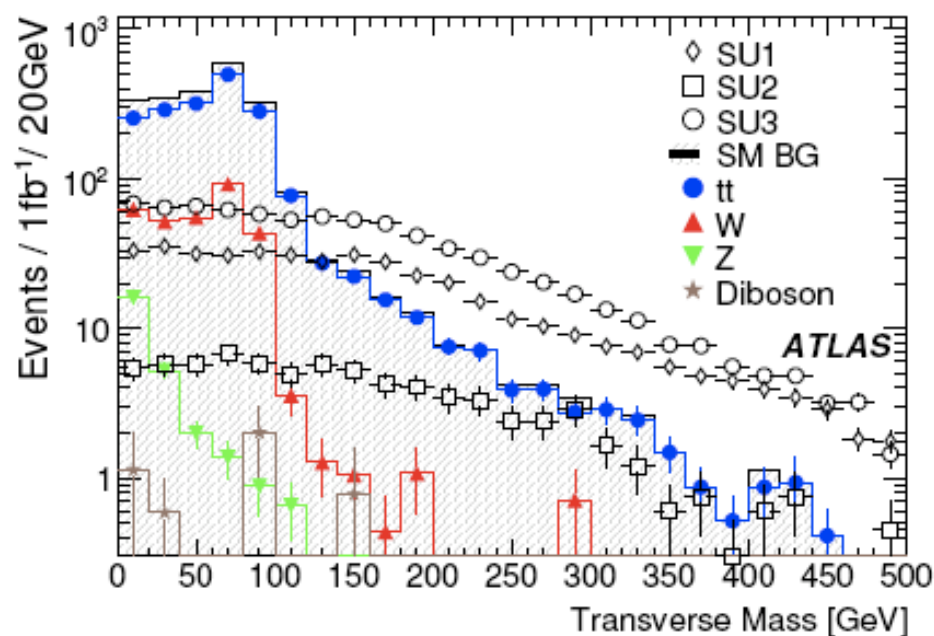
W+jets background estimate

- Use Z→ll +jets also for this background too
 - Rely on theoretical prediction for W+jets vs Z+jets
 - This is well known though (<15%)!



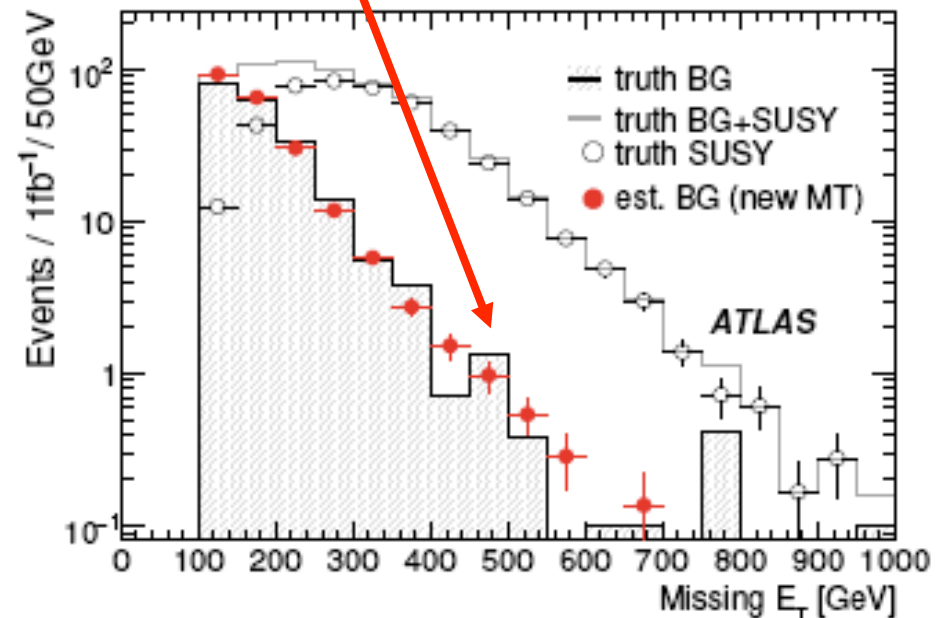
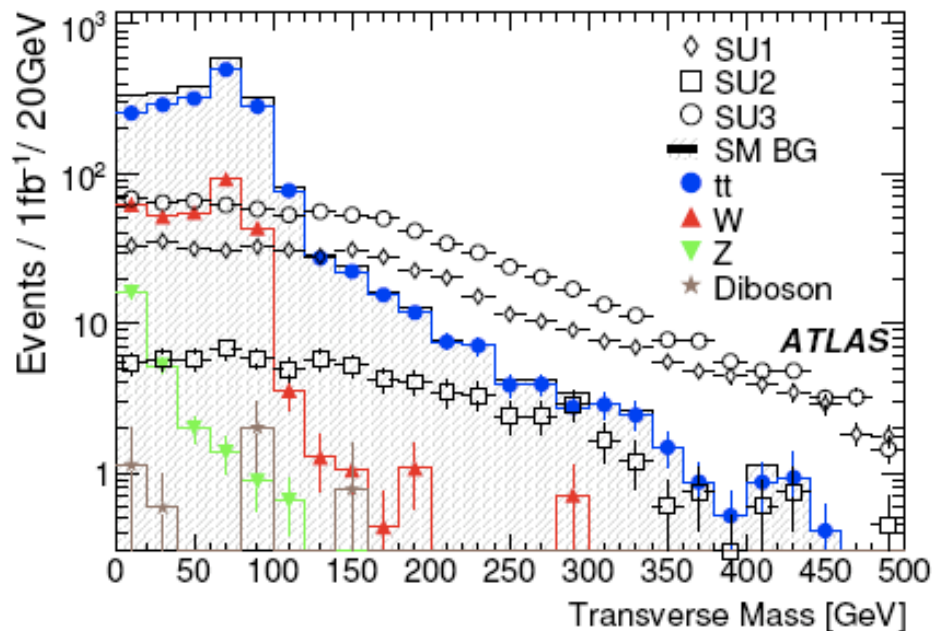
Top and W+jets background estimate

- Use region of low $m_T(W)$
 - Extrapolate to signal region using MC
 - But may be contaminated by SUSY => **overestimate BG**
 - depending on specifics of model



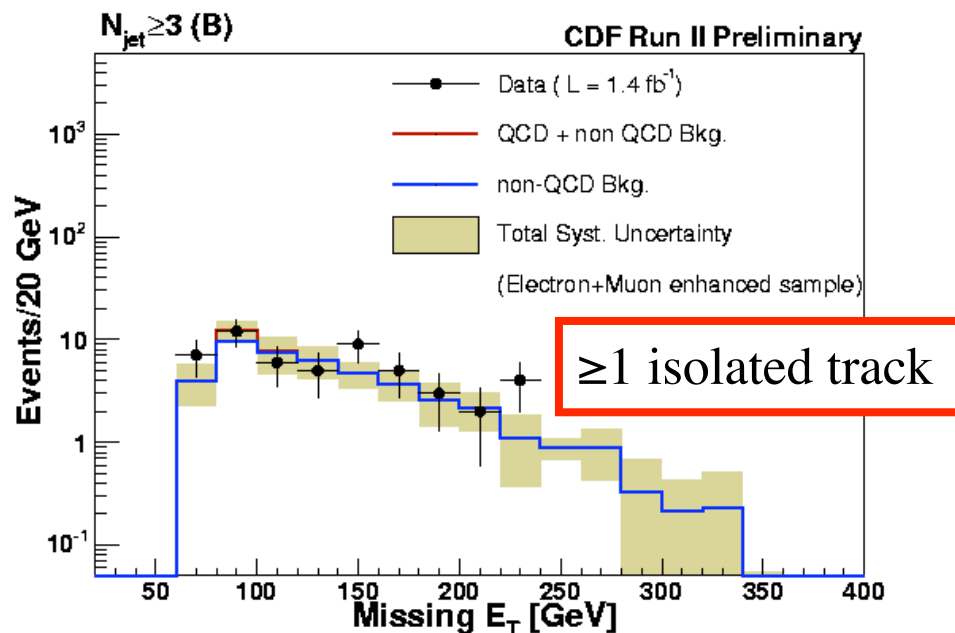
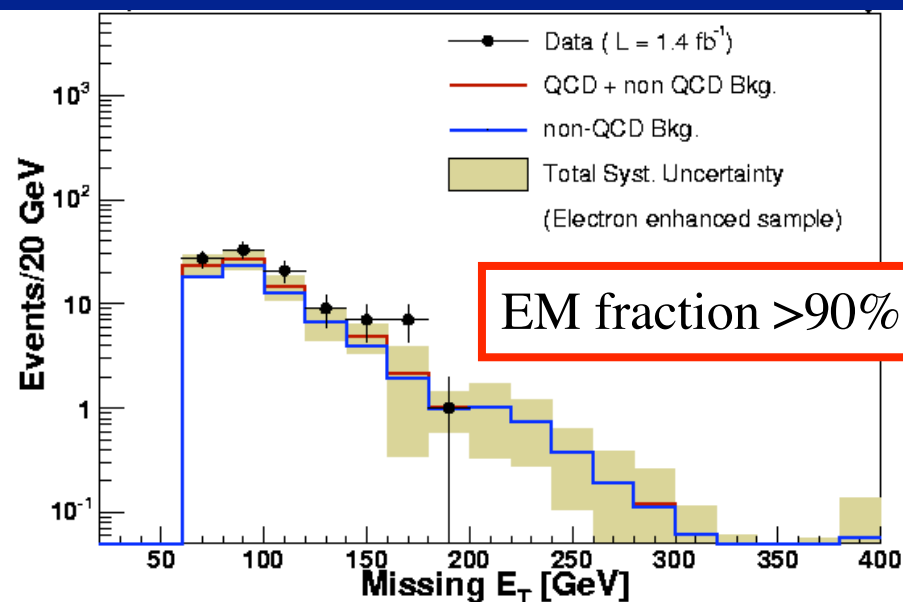
Top and W+jets background estimate

- Use region of low $m_T(W)$
 - Extrapolate to signal region using MC
 - But may be contaminated by SUSY => overestimate
 - depending on specifics of model
 - Can attempt “SUSY background subtraction” to correct for it

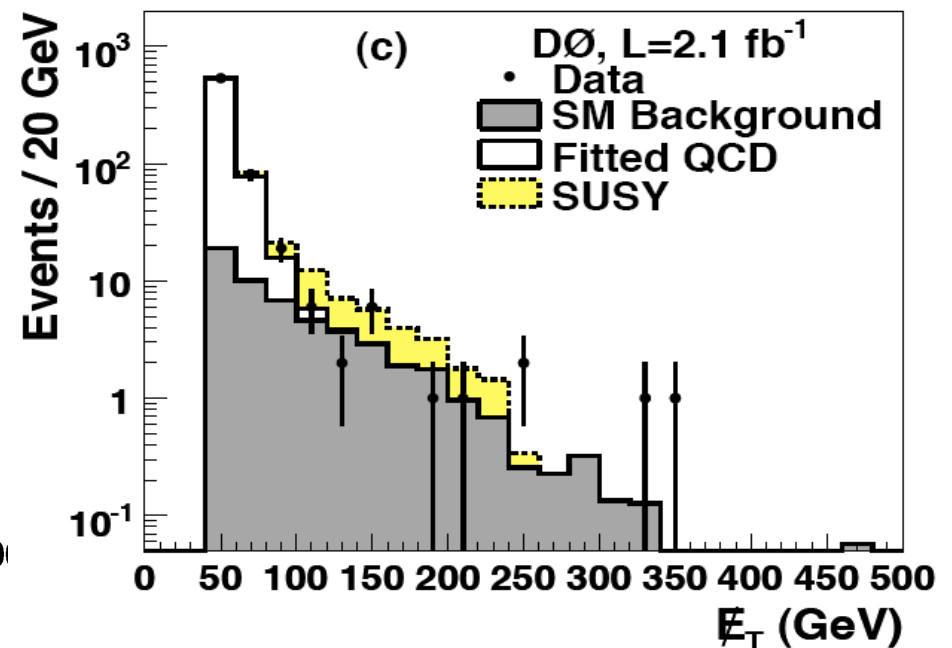
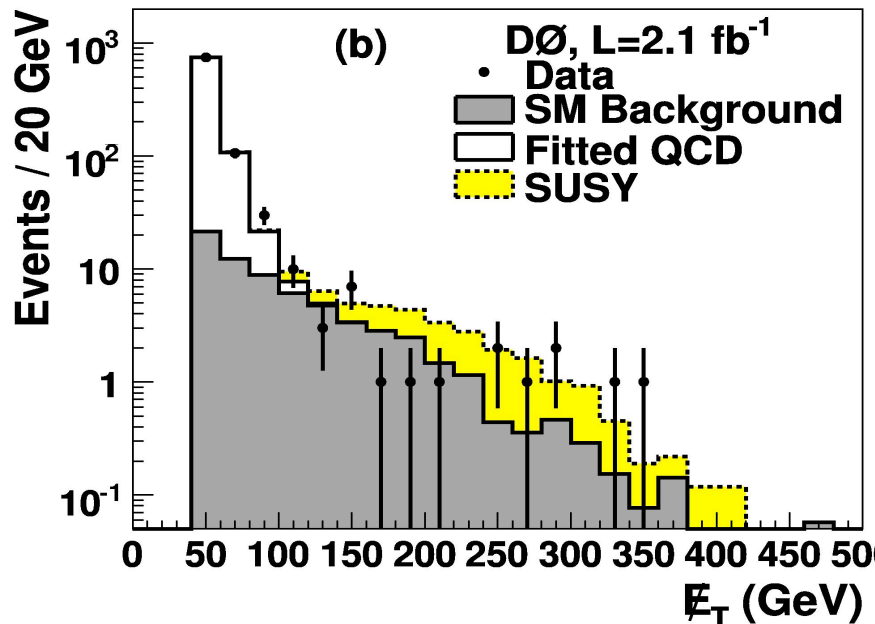
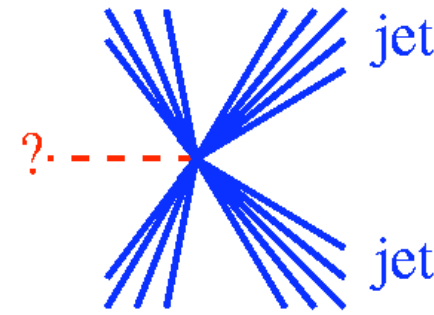
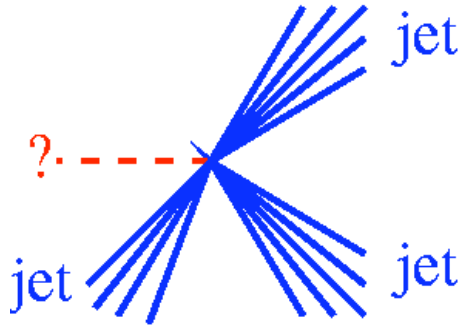


W+jets, Z+jets and Top background

- Checks at Tevatron 0-lepton analysis
 - Background sources:
 - W/Z+jets, top
 - Suppressed by vetoes:
 - Events with jet with EM fraction > 90%
 - Rejects electrons
 - Events with isolated track
 - Rejects muons, taus and electrons
 - Define control regions:
 - W/Z+jets, top
 - Make all selection cuts but invert lepton vetoes
 - Gives confidence in those background estimates
 - Modeled using Alpgen MC
 - Cross sections determined using NLO calculation
- May not work at LHC due to expectation of large cascade decays

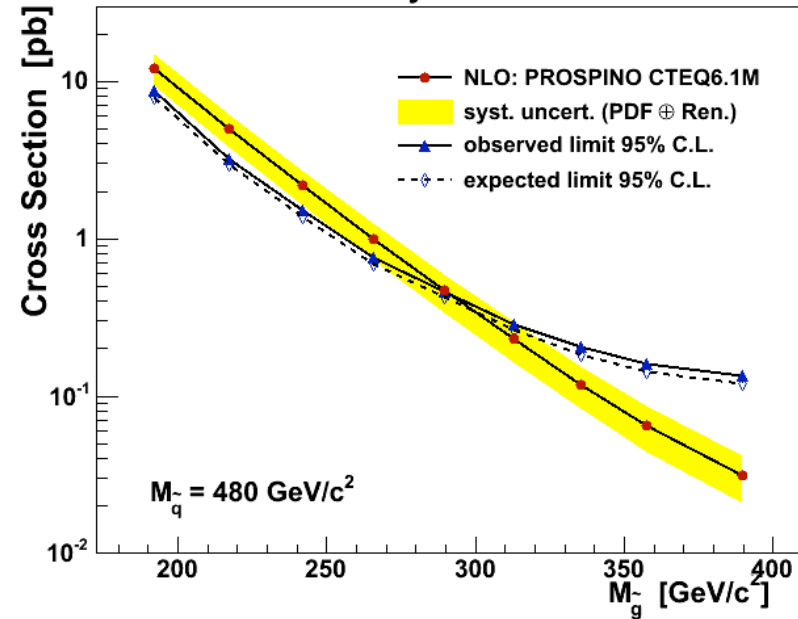
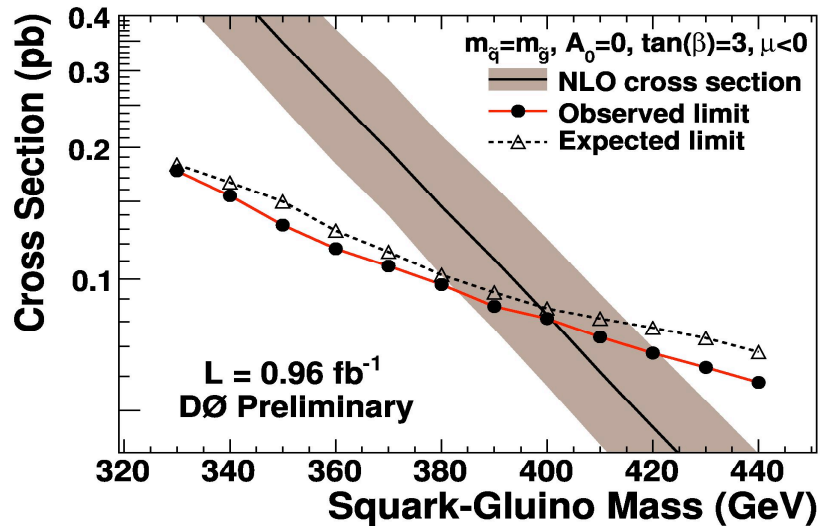


Final Analysis Plots at the Tevatron



Data agree with background estimate => derive limits

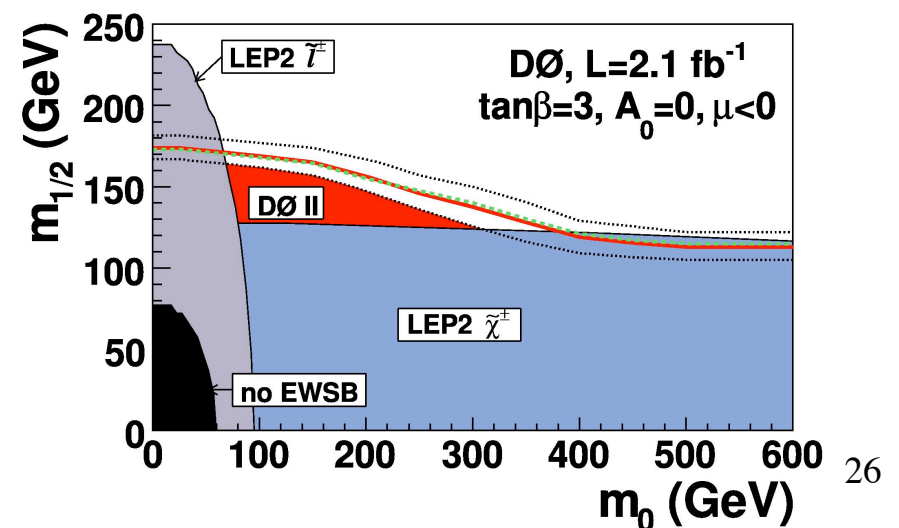
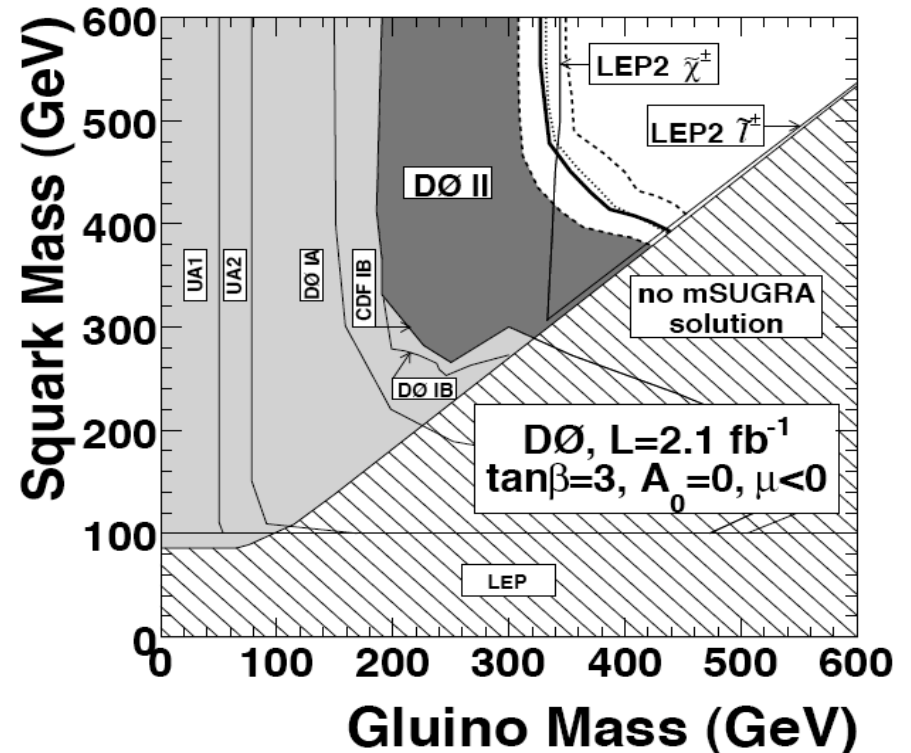
Cross Section Limits



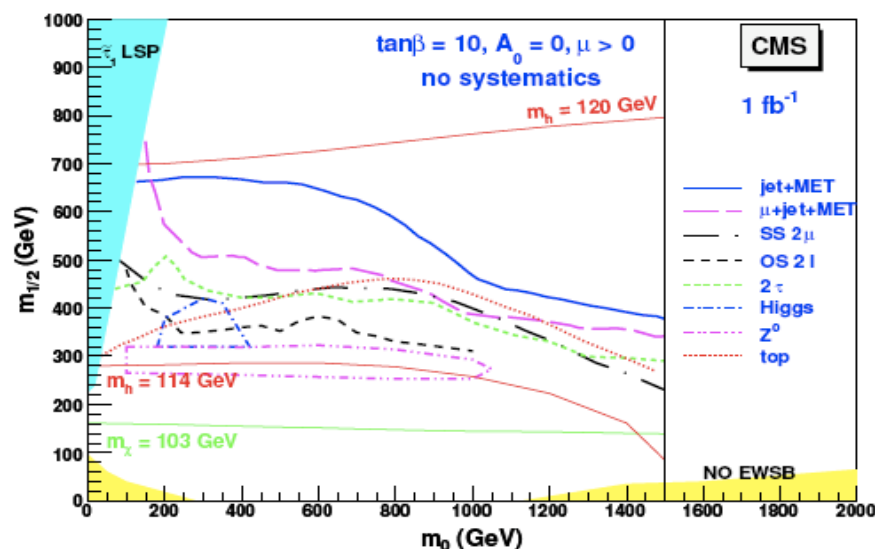
- No excess in data
 - Evaluate upper limit on cross section
 - Find out where it crosses with theory
- Theory has large uncertainty: $\sim 30\%$
 - Crossing point with theory lower bound \sim represents limit on squark/gluino mass

Squark and Gluino Mass Limits

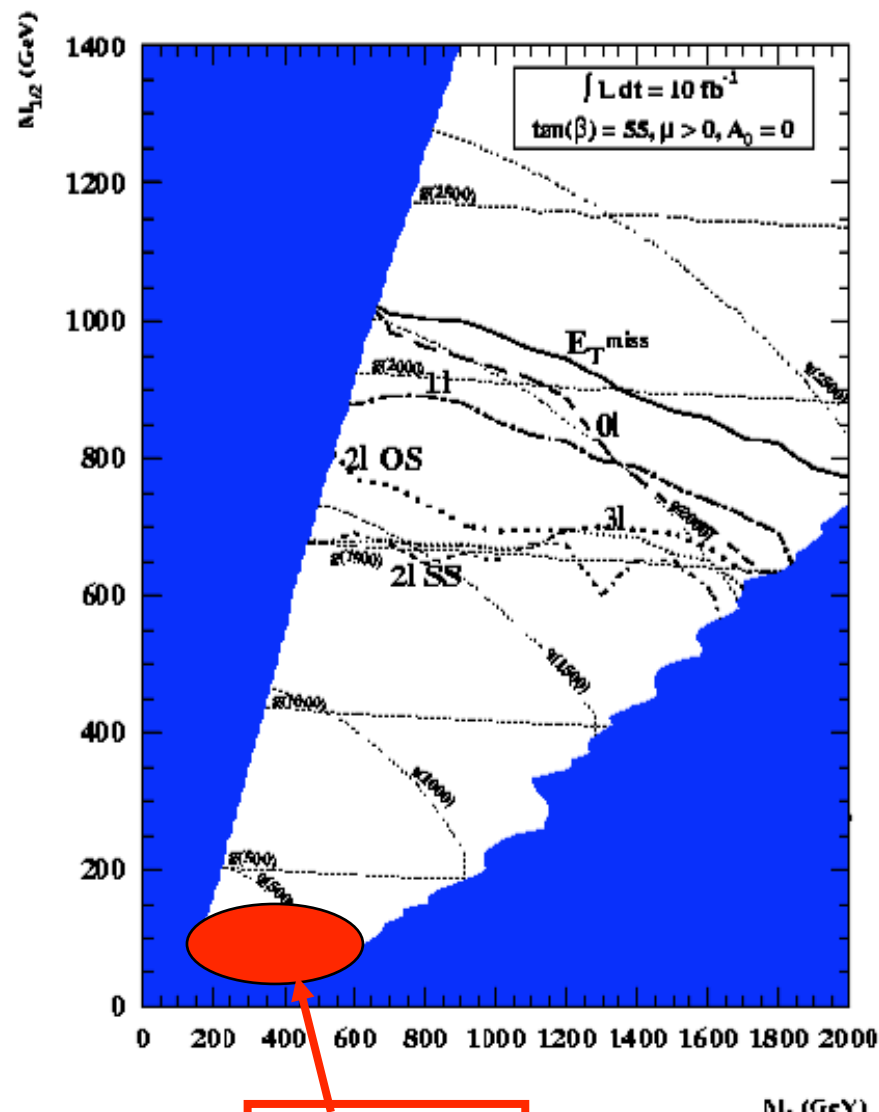
- Set constraints on masses at EWK scale:
 - $M(\tilde{g}) > 308 \text{ GeV}$
 - $M(\tilde{q}) > 379 \text{ GeV}$
- Can also be represented in terms of GUT scale parameters
 - Within constrained models



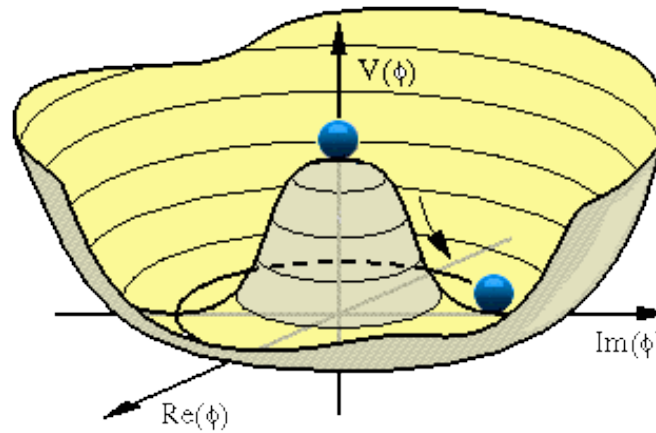
LHC SUSY Discovery Reach



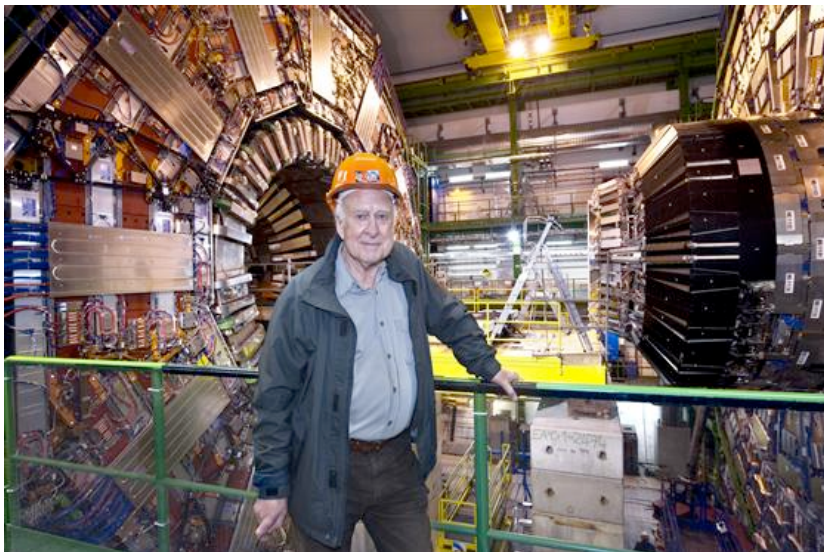
- With 1 fb⁻¹:
 - Sensitive to $m(\tilde{g}) < 1000 \text{ GeV}/c^2$
- With 10 fb⁻¹:
 - Sensitive to $m(\tilde{g}) < 1800 \text{ GeV}/c^2$
- Amazing potential!
 - If data can be understood
 - If current MC predictions are \approx ok



Tevatron

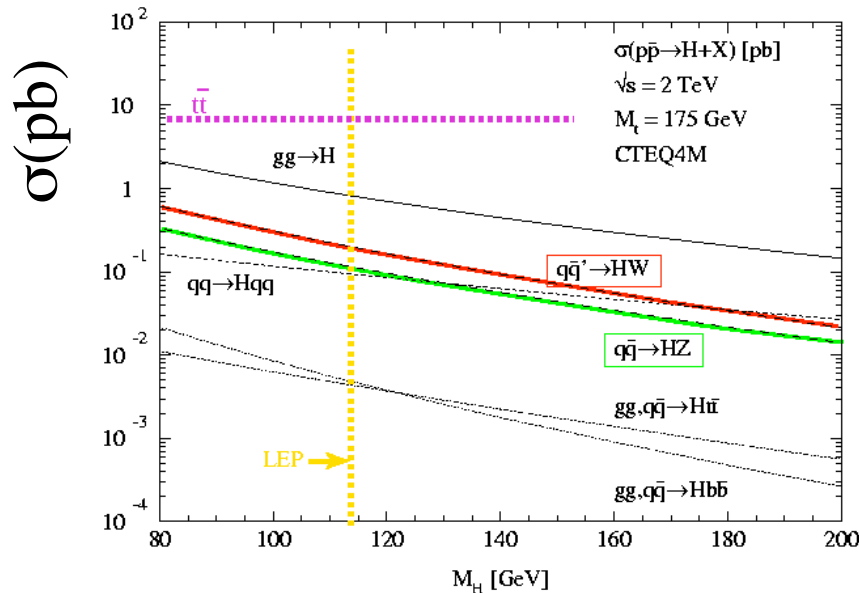


The Higgs Boson

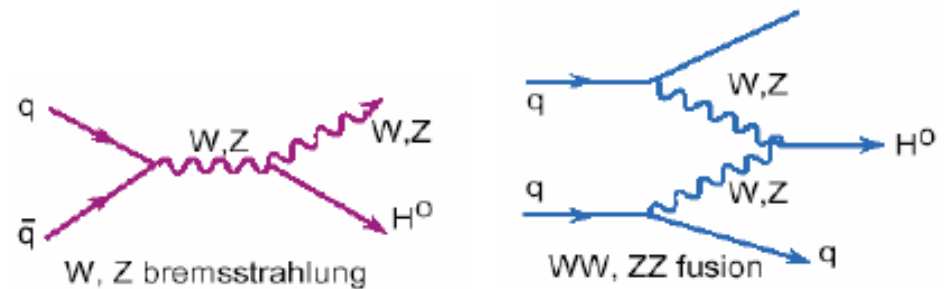
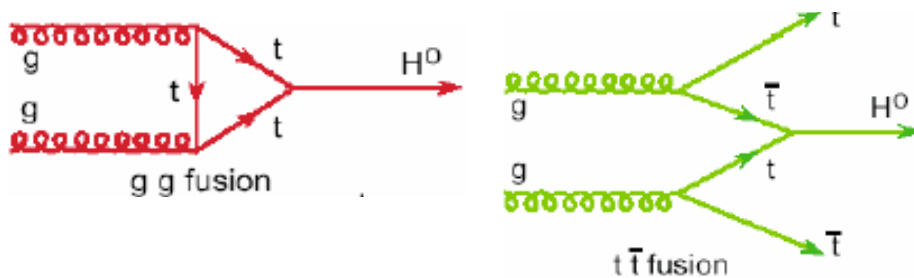
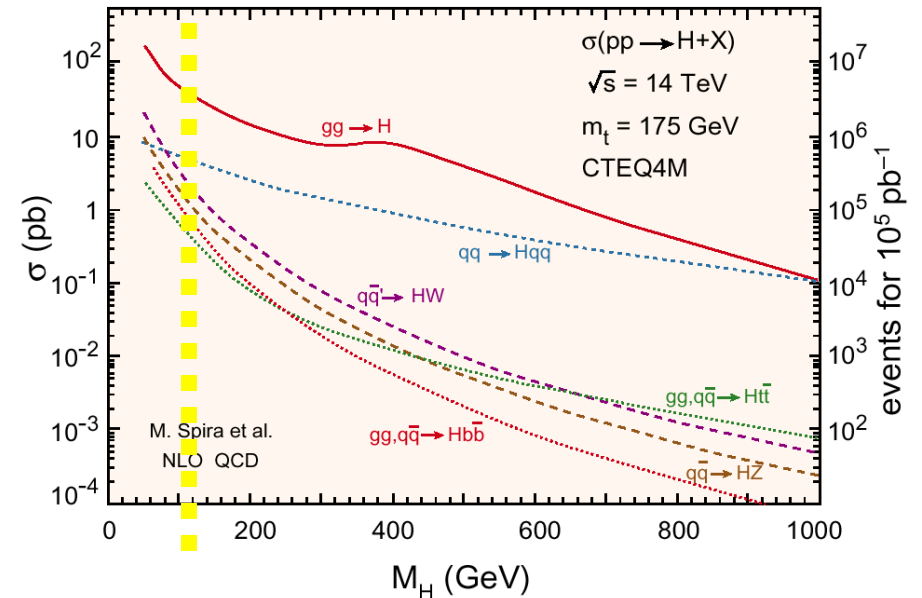


Higgs Production: Tevatron and LHC

Tevatron



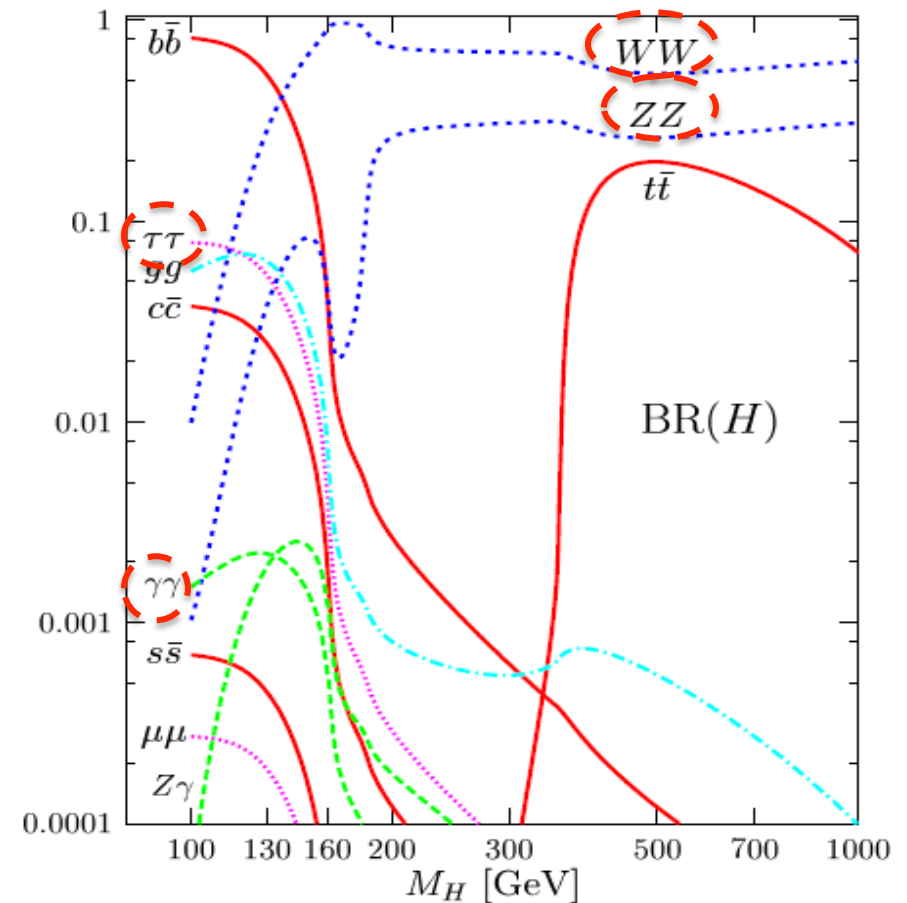
LHC



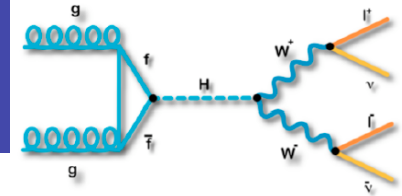
dominant: $gg \rightarrow H$, subdominant: HW , HZ , $Hq\bar{q}$

Higgs Boson Decay

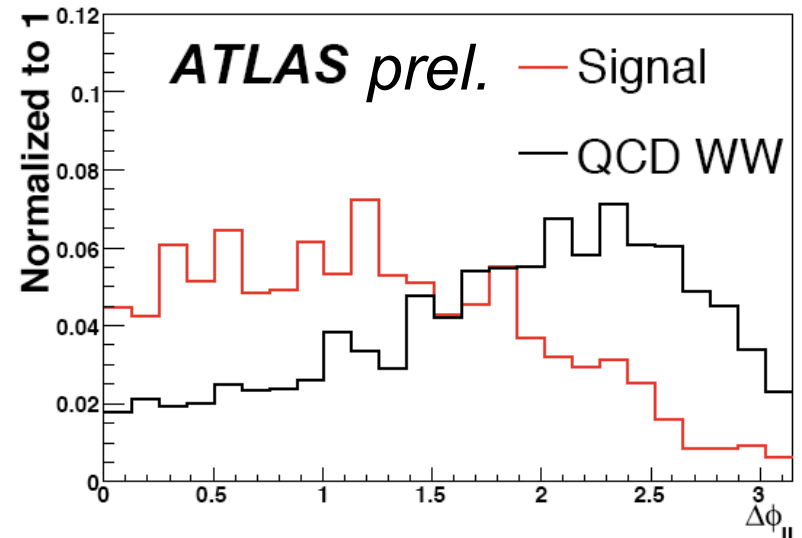
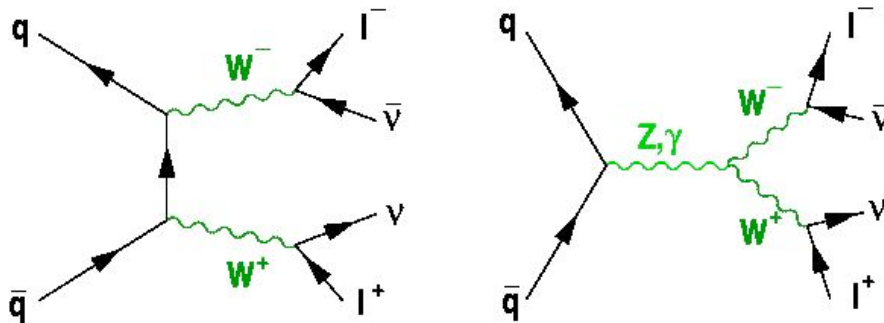
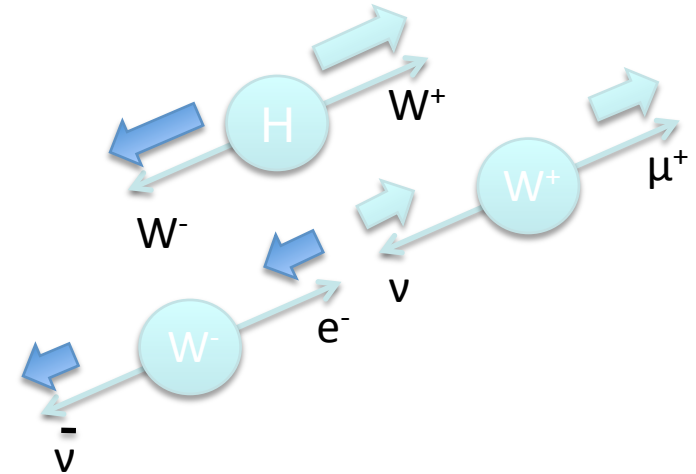
- Depends on Mass
- $M_H < 130 \text{ GeV}/c^2$:
 - $b\bar{b}$ dominant
 - WW and $\tau\tau$ subdominant
 - $\gamma\gamma$ small but useful
- $M_H > 130 \text{ GeV}/c^2$:
 - WW dominant
 - ZZ cleanest



$$\mathbf{H} \rightarrow \mathbf{WW}^{(*)} \rightarrow \mathbf{l^+l^-}\bar{\nu}\nu$$



- Higgs mass reconstruction impossible due to two neutrinos in final state
- Make use of spin correlations to suppress WW background:
 - Higgs is scalar: spin=0
 - leptons in $H \rightarrow WW^{(*)} \rightarrow l^+l^-\bar{\nu}\nu$ are collinear
- Main background:
 - WW production



$H \cdot WW^{(*)} \cdot 1 + 1 \cdot \nu \nu$ ($l = e, \mu$)

- **Event selection:**

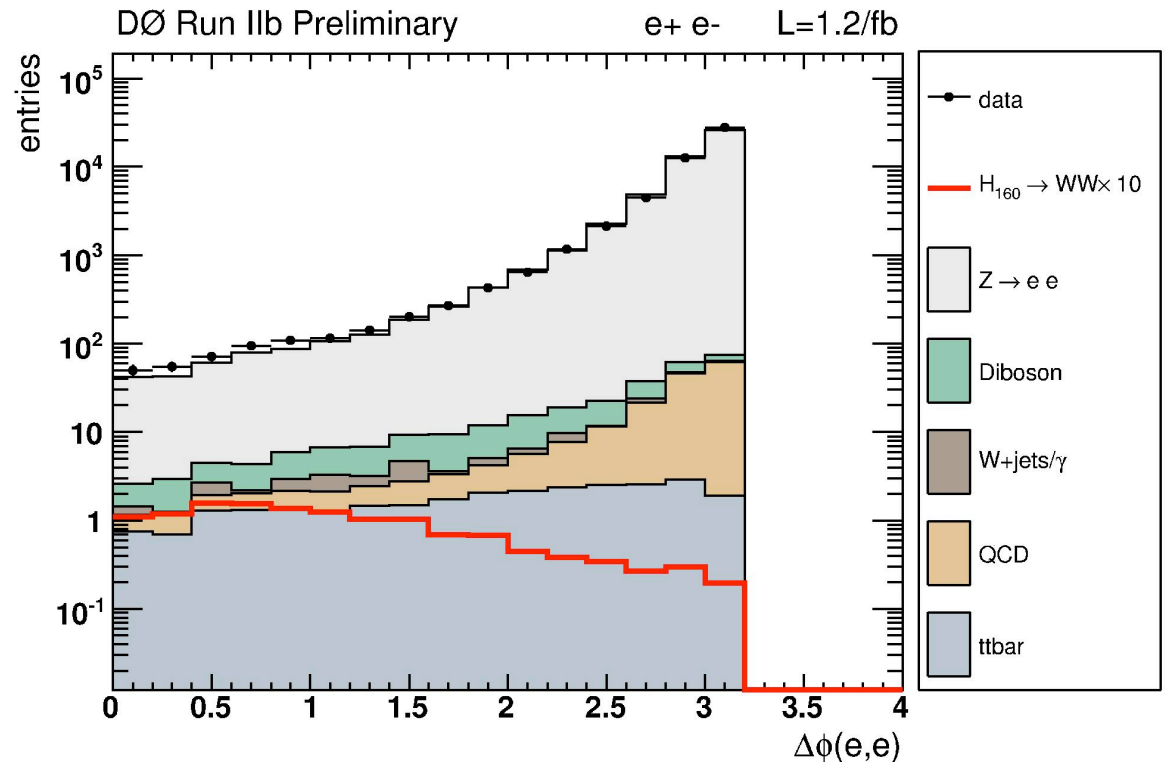
- 2 isolated e/μ :
 - $p_T > 15, 10$ GeV
- Missing $E_T > 20$ GeV
- Veto on
 - Z resonance
 - Energetic jets

- **Main backgrounds**

- SM WW production
- Top
- Drell-Yan
- Fake leptons

- **Plot everything under the sun**

- to convince yourself you have the background right



Jets faking Electrons

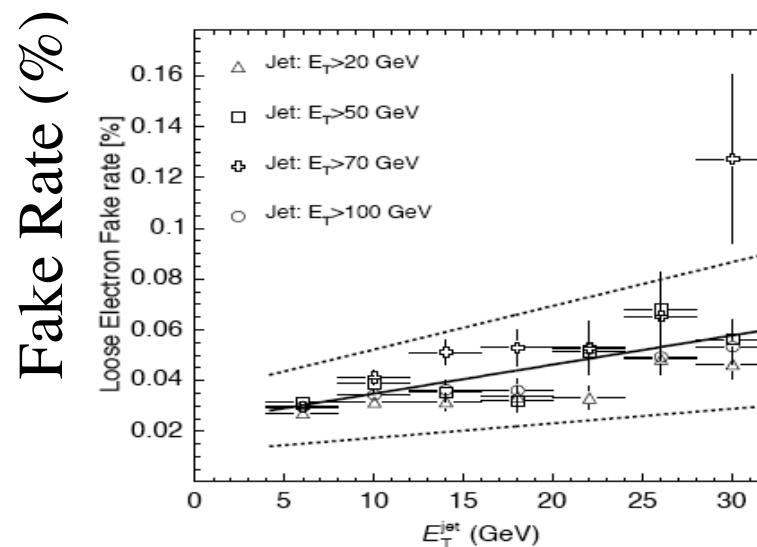
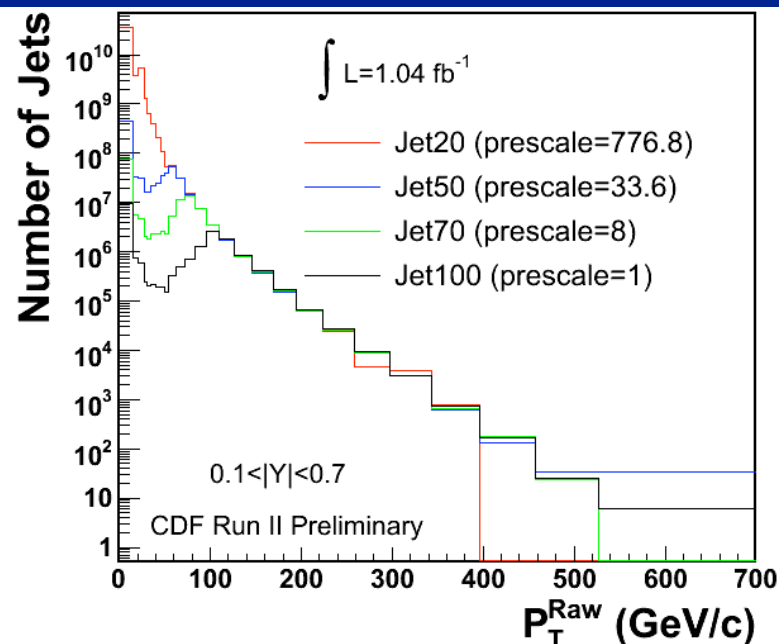
- Jets can pass electron ID cuts,
 - Mostly due to
 - early showering charged pions
 - Conversions: $\pi^0 \rightarrow \gamma\gamma \rightarrow ee + X$
 - Semileptonic b-decays
 - Difficult to model in MC
 - Hard fragmentation
 - Detailed simulation of calorimeter and tracking volume

- Measured in inclusive jet data at various E_T thresholds
 - Prompt electron content negligible:
 - $N_{\text{jet}} \sim 10$ billion at 50 GeV!

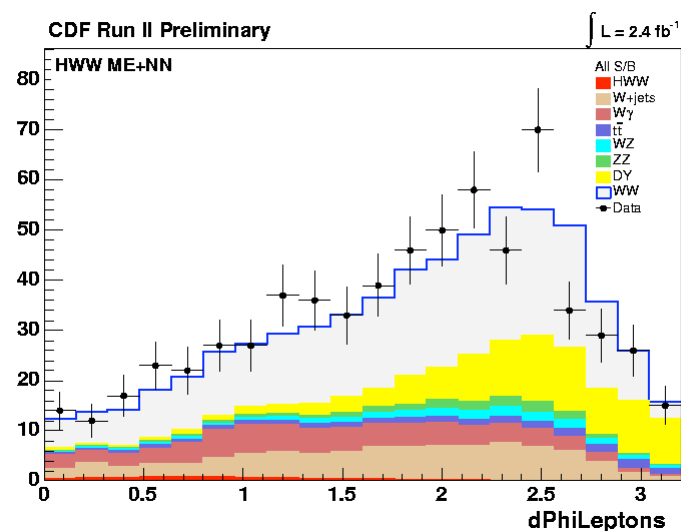
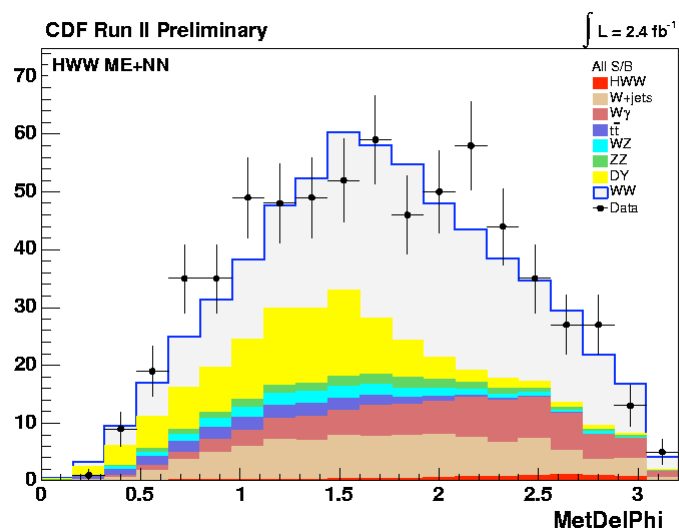
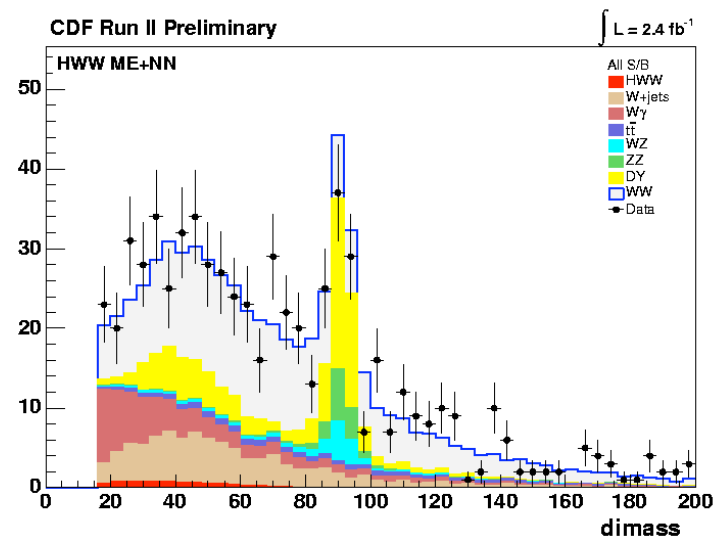
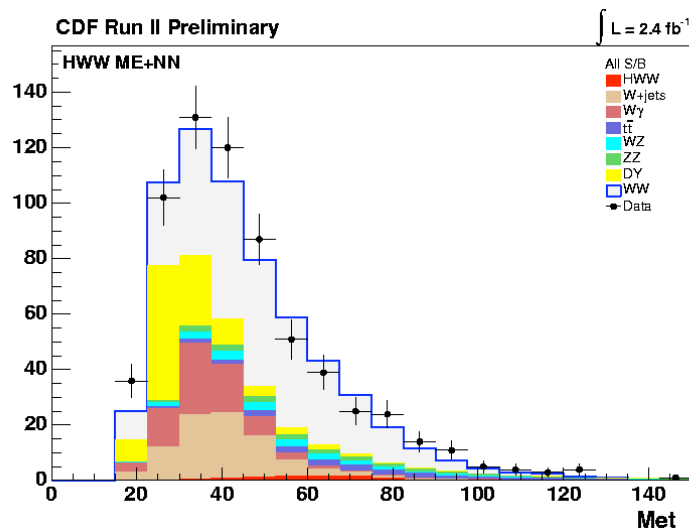
Fake rate per jet:

	CDF	ATLAS
Loose cuts	5×10^{-4}	5×10^{-3}
Tight cuts	1×10^{-4}	1×10^{-5}

- Typical uncertainties 50%



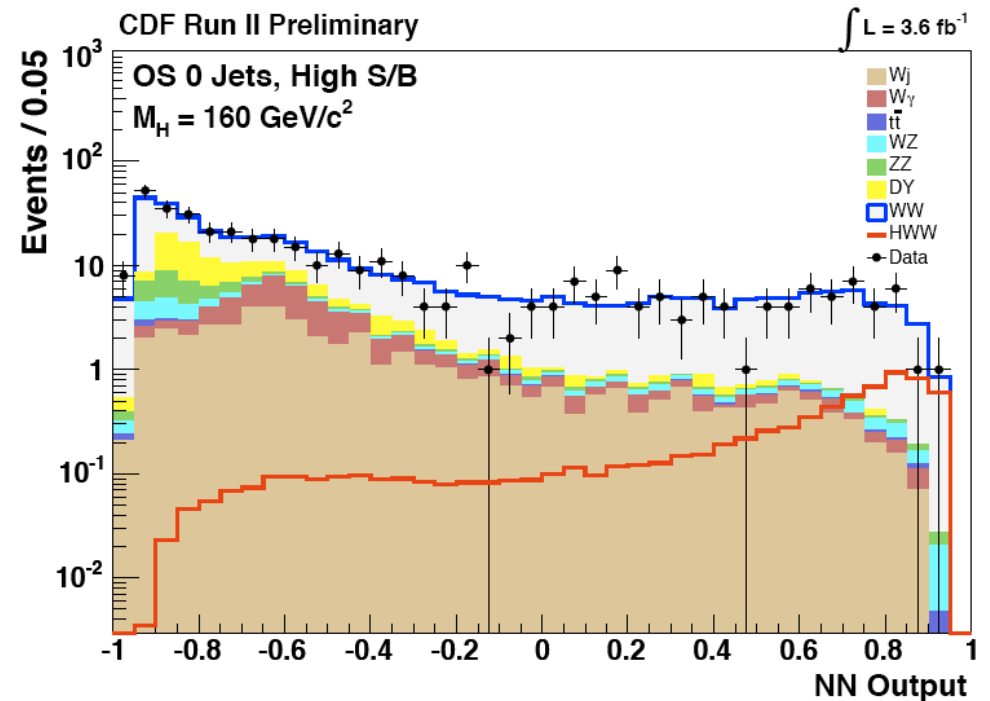
Plot Everything Under the Sun..



- Validates the background prediction
 - Very often these plots “don’t work” since there is some problem
 - Now plug all into sophisticated techniques!

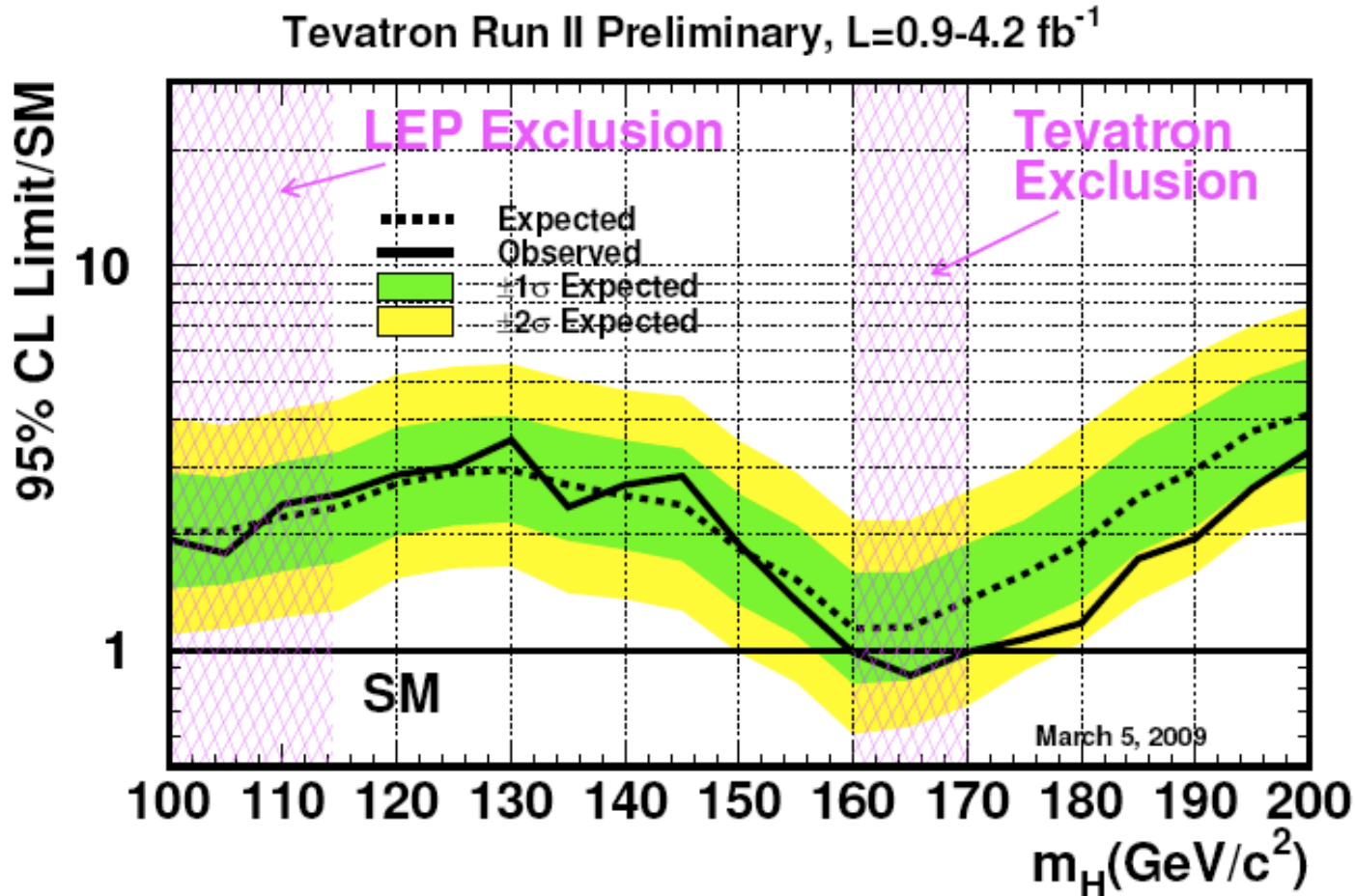
NN Output

$M_H = 160 \text{ GeV}/c^2$		
$t\bar{t}$	1.35 ± 0.21	
DY	80 ± 18	
WW	318 ± 35	
WZ	14 ± 1.9	
ZZ	20.7 ± 2.8	
W+jets	113 ± 27	
$W\gamma$	92 ± 25	
Total Background	637 ± 67	
$gg \rightarrow H$	9.5 ± 1.4	
Total Signal	9.5 ± 1.4	
Data	654	



- Data agree well with background hypothesis
- S/B ~ 0.3 at high NN values

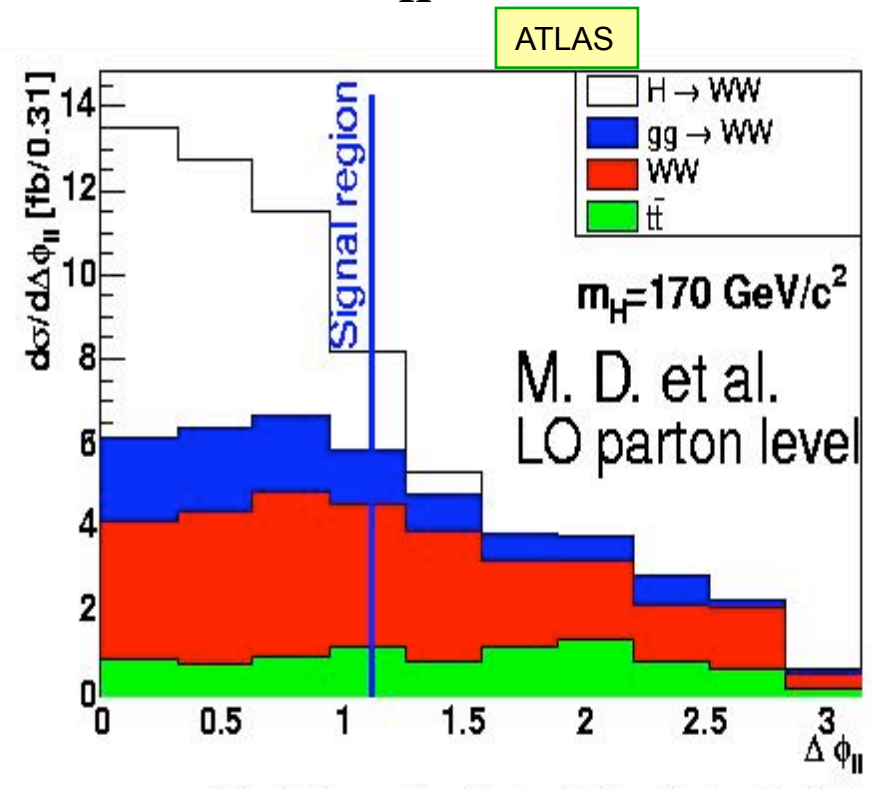
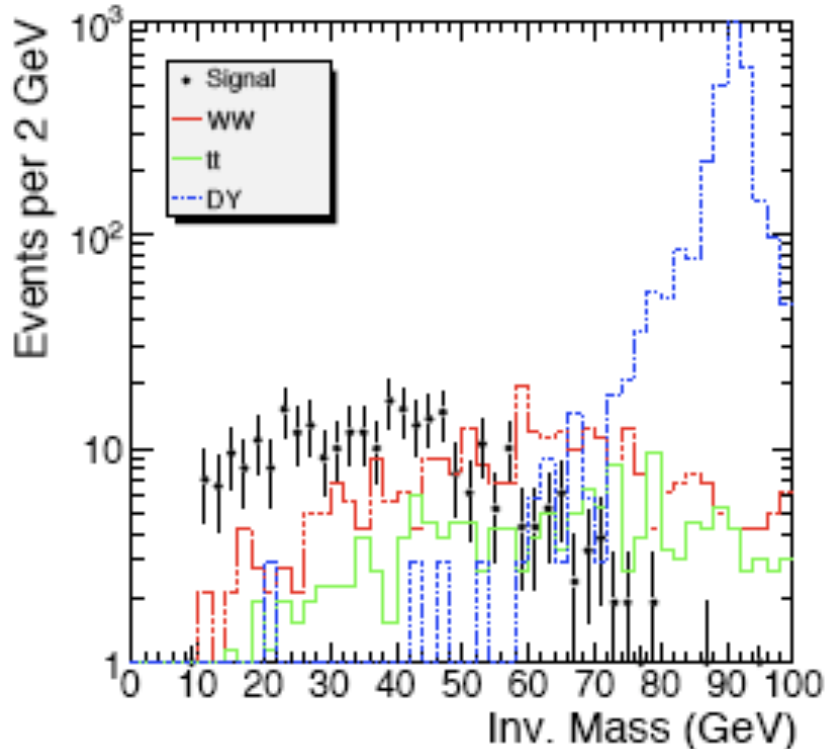
Higgs Cross Section Limit



- $160 < m_H < 170 \text{ GeV}$ excluded at 95% C.L.
 - Note that the limit is $\sim 1\sigma$ better than expected
- For $m_H=120 \text{ GeV}$: $\sigma_{\text{limit}}/\sigma_{\text{SM}} = 2.8$

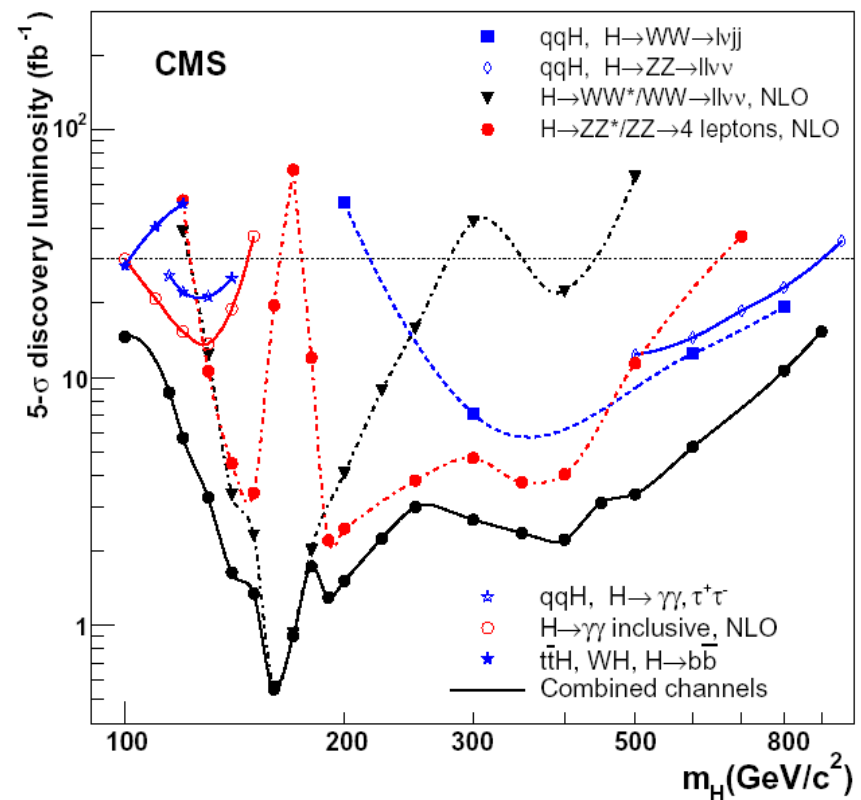
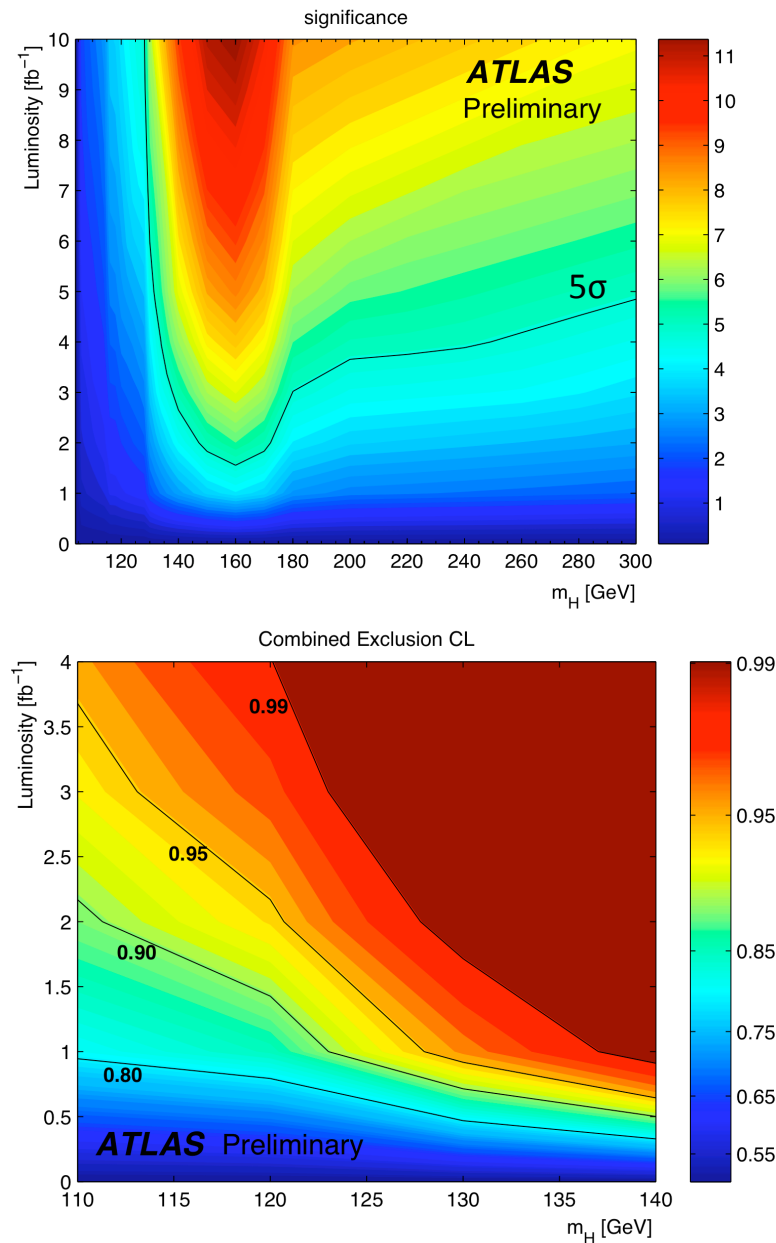
Early Higgs Signals at LHC

$H \rightarrow WW^*$ ($m_H = 170 \text{ GeV}$)



LHC has about 4 times better
signal / background than Tevatron

LHC SM Higgs Discovery Potential



- 5 σ discovery over full mass range with $\sim 20 \text{ fb}^{-1}$
 - Most challenging at low mass
- 95% exclusion over full mass range with $\sim 4 \text{ fb}^{-1}$

Conclusions

- Background estimate most crucial aspect for searches
- LHC has an amazing discovery potential
 - Supersymmetry already with $\sim 100 \text{ pb}^{-1}$
 - Also other high mass particles, e.g.
 - Z' , Extra Dimensions, 4th generation quarks, ...
 - Higgs boson: $1\text{-}10 \text{ fb}^{-1}$
- Let's hope that many exciting things will be found!!!

Some Remarks on Advanced Analysis Techniques

- **Quite a few techniques available:**
 - Neural Network, Likelihood, Boosted Decision Tree, Matrix Element, ...
 - No clear winner has yet been identified
 - Some are more transparent than others
- **Why do we trust them less than simple analyses?**
 - Simple kinematic quantities can be calculated at NLO by theorists while e.g. NN distribution cannot
 - Gives confidence, good cross-check!
 - Techniques exploit correlations between variables
 - Harder to understand if the MC models correlations correctly
 - More validation needed (=> analysis takes longer)
 - Less transparent
 - Worry is always that it exploits some MC feature that does not reflect the data
- **Can and has been done of course though**
 - But only in mature experiments